03-06-
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PTO/SB/05 (2/98)
Approved for use through 09/30/2000. OMB 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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UTILITY	Attorney Docket No.		MRE-9	i

## UTILITY **PATENT APPLICATION TRANSMITTAL**

First Inventor or Application Identifier Fiber-Based Displays Containing Lenses.....

Chad Byron Moore

ns under 37 C.F.R. § 1.53(b)) Express Mail Label No.

APPLICATION ELEMENTS See MPEP chapter 600 concerning utility patent application contents.	Assistant Commissioner for Patents  O ADDRESS TO: Box Patent Application Washington, DC 20231				
* Fee Transmittal Form (e.g., PTO/SB/17) (Submit an original and a duplicate for fee processing)  Specification (preferred arrangement set forth below)  - Descriptive title of the Invention  - Cross References to Related Applications  - Statement Regarding Fed sponsored R & D  - Reference to Microfiche Appendix  - Background of the Invention  - Brief Summary of the Invention  - Brief Description of the Drawings (if filed)  - Detailed Description  - Claim(s)  - Abstract of the Disclosure  Drawing(s) (35 U.S.C. 113) [Total Sheets 26]  4. Oath or Declaration [Total Pages 2]  A. Wewly executed (original or copy)  b. Copy from a prior application (37 C.F.R. § 1.63((for continuation/divisional with Box 17 completed) (Note Box 5 below)  i. DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).  Incorporation By Reference (useable if Box 4b is checked)  The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, considered to be part of the disclosure of the accompanying application and is hereby incorporated by reference thereing the continuation in Divisional Continuation-in-part (Continuation Divisional Continuation-in-part (Continuation)	6. Microfiche Computer Program (Appendix)  7. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)  a. Computer Readable Copy  b. Paper Copy (identical to computer copy)  c. Statement verifying identity of above copies  ACCOMPANYING APPLICATION PARTS  8. Assignment Papers (cover sheet & document(s))  9. 37 C.F.R.§3.73(b) Statement Power of Attorney  10. English Translation Document (if applicable)  11. Information Disclosure Copies of IDS Statement (IDS)/PTO-1449 Citations  12. Preliminary Amendment  13. Return Receipt Postcard (MPEP 503) (Should be specifically itemized)  * Small Entity Statement filed in prior application, Status still proper and desired (PTO/SB09-12)  15. Certified Copy of Priority Document(s) (if foreign priority is claimed)  16. Other:  **NOTE FOR ITEMS 1 & 14: IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R.§ 1.27), EXCEPT IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R.§ 1.28). Supply the requisite information below and in a preliminary amendment:				
Prior application information: Examiner	Group / Art Unit:				
18. CORRESPONDENCE ADDRESS					
Customer Number or Bar Code Label  020808  or Correspondence address below (Insert Customer No. or Attach bar code label here)					
Name					
Address					
City State	Zip Code				
Country Telephone	Fax				
Name (Printl Type) Christopher A. Michaels	Registration No. (Attorney/Agent) 34,390				
Signature C	Date 3/2/00				

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

PTO/SB/17 (2/98)

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FEE TRANSMITTAL	F	EE	TR/	NS	TIM	<b>TAL</b>	<u> </u>
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Patent fees are subject to annual revision on October 1. These are the fees effective October 1, 1997.

Small Entity payments <u>must</u> be supported by a small entity statement, otherwise large entity fees must be paid. See Forms PTO/SB/09-12. See 37 C.F.R. §§ 1.27 and 1.28.

TOTAL AMOUNT OF PAYMENT

594.00

Compl	Complete if Known			
Application Number				
Filing Date	03/02/00			
First Named Inventor	Chad Byron Moore			
Examiner Name				
Group / Art Unit				
Attorney Docket No.	MRE-9			

METHOD OF PAYMENT (check one)	FEE CALCULATION (continued)	FEE CALCULATION (continued)		
The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:  Deposit  Deposit	3. ADDITIONAL FEES Large Entity Small Entity Fee Fee Fee Fee Code (\$) Code (\$)	Fee Paid		
Account Number 02-0910	105 130 205 65 Surcharge - late filing fee or oath			
Deposit Account Name  Brown, Pinnisi & Michaels PC	127 50 227 25 Surcharge - late provisional filing fee or cover sheet.			
Charge Any Additional Charge the Issue Fee Set In See Required Under 37 C.F.R. & 1.18 at the Mailing	139 130 139 130 Non-English specification			
Fee Required Under 37 C.F.R. § 1.18 at the Mailing 37 C.F.R. §§ 1.16 and 1.17 of the Notice of Allowance	147 2,520 147 2,520 For filing a request for reexamination			
	112 920* 112 920* Requesting publication of SIR prior to Examiner action			
2. Payment Enclosed: Check Money Other	113 1,840* 113 1,840* Requesting publication of SIR after Examiner action			
	115 110 215 55 Extension for reply within first month			
FEE CALCULATION	116 400 216 200 Extension for reply within second month			
1. BASIC FILING FEE	117 950 217 475 Extension for reply within third month			
Large Entity Small Entity	118 1,510 218 755 Extension for reply within fourth month			
Fee Fee Fee Fee Description Fee Paid Code (\$) Code (\$)	128 2,060 228 1,030 Extension for reply within fifth month			
101 790 201 395 Utility filing fee 345.00	119 310 219 155 Notice of Appeal			
106 330 206 165 Design filing fee	120 310 220 155 Filing a brief in support of an appeal			
107 540 207 270 Plant filing fee	121 270 221 135 Request for oral hearing			
108 790 208 395 Reissue filing fee	138 1,510 138 1,510 Petition to institute a public use proceedi	ing		
114 150 214 75 Provisional filing fee	140 110 240 55 Petition to revive - unavoidable			
SUBTOTAL (1) (\$) 345.00	141 1,320 241 660 Petition to revive - unintentional	.		
2. EXTRA CLAIM FEES	142 1,320 242 660 Utility issue fee (or reissue)			
Fee from Ext <u>ra Claims below Fee Paid</u>	143 450 243 225 Design issue fee			
Total Claims 39 -20** = 19 x 9 = 171 Independent 5 3** - 3 x 20 78	144 670 244 335 Plant issue fee			
Claims	122 130 122 130 Petitions to the Commissioner			
Multiple Dependent =	123 50 123 50 Petitions related to provisional application	ons		
**or number previously paid, if greater; For Reissues, see below  Large Entity Small Entity	126 240 126 240 Submission of Information Disclosure Str	mt		
Fee Fee Fee Fee Description Code (\$) Code (\$)	581 40 581 40 Recording each patent assignment per property (times number of properties)			
103 22 203 11 Claims in excess of 20	146 790 246 395 Filing a submission after final rejection			
102 82 202 41 Independent claims in excess of 3 104 270 204 135 Multiple dependent claim, if not paid	149 790 249 395 For each additional invention to be			
109 82 209 41 ** Reissue independent claims	examined (37 CFR 1.129(b))			
over original patent	Other fee (specify)			
110 22 210 11 ** Reissue claims in excess of 20 and over original patent	Other fee (specify)			
SUBTOTAL (2) (\$) 249.00	*Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$)	0.00		
SUBMITTED BY	Complete (if ap	plicable)		
Typed or Christopher	A. Michaels Reg. Number	34,390		
Signature	Date 3/2/00 Deposit Account User ID			

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Applicant or Patentee:

Serial or Patent No.:

Chad Byron Moore

Filed or Issued:

Title:

FIBER-BASED DISPLAYS CONTAINING LENSES AND METHODS

Docket No.: MRE-9

OF MAKING SAME

## VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS (37 CFR 1.9(f) & 1.27(b))--INDEPENDENT INVENTOR

As a below named inventor, I hereby declare that I qualify as an independent inventor as defined in 37 CFR 1.9(c) for purposes of paying reduced fees to the Patent and Trademark Office regarding the invention entitled FIBER-BASED DISPLAYS CONTAINING LENSES AND METHODS OF MAKING SAME described in:

DISPLAYS CONTAINING LENSES AND METHODS OF MAKING SAME described in:
the specification filed herewith application serial number , filed patent number , issued .
I have not assigned, granted, conveyed or licensed and am under no obligation under contract or law to assign, grant, convey or license, any rights in the invention to any person who would not qualify as an independent inventor under 37 CFR 1.9(c) if that person had made the invention, or to any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).
Each person, concern or organization to which I have assigned, granted, conveyed, or licensed or am under an obligation under contract or law to assign, grant, convey, or license any rights in the invention is listed below:*
<ul> <li>No such person, concern, or organization</li> <li>☐ Persons, concerns or organizations listed below*</li> <li>* Note; Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)</li> </ul>
NAME: ADDRESS:  INDIVIDUAL SMALL BUSINESS CONCERN NONPROFIT ORGANIZATION
I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28 (b))
I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, and patent issuing thereon, or any patent to which this verified statement is directed.
Chad Byron Moore Date: